

# SOX Compliance as a Service

## Assessment, audit support, monitoring compliances, and required automation

SOX compliance is required of all publicly traded companies and wholly owned subsidiaries in the US. SOX promotes transparency, accountability, and reliability in financial reporting. Zensar's SOX-as-a-service is tailor-made and explicitly designed to address the unique requirements of SOX compliance such as audit, internal controls, automation tool requirements, and implementation. This solution empowers customers to have complete control over the processes.



### Success Story

A multinational digital communications technology conglomerate incurred a huge cost due to non-SOX compliance. Zensar's SOX-as-a-service model ensured smooth alignment with the changing demands of external auditors. A techno/functional team was set up to conduct a smooth audit for ~ 325 controls/qtr and 80+ applications. The team implemented automation (~72 nos) and optimization of control performance (~20 nos), resulting in ~1000 hrs/qtr of manual efforts saving and avoiding potential SOX exceptions.



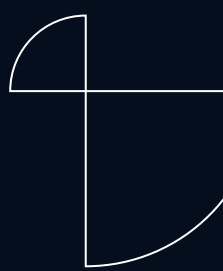
## Solution Features

- **Assessment:**
  - Risk assessment, compliance planning
  - Internal controls evaluation
- **Audit Support:**
  - Collaboration with internal and external auditors
  - Compliances monitoring
  - Training and education on compliance
- **Automation:**
  - Implementation of automation tool
  - Tailor-made solution to adapt to evolving automation needs



## Benefits

- High SOX compliance
- Measurable outputs
- Smooth audit process - end-to-end ownership with a single team
- Leveraging of best practices across GBP's
- Process automation using IT expertise
- Seamless execution (project plan, audit calendar, standard processes)
- Effective remediation



## Differentiators & Enablers



SOX Skilled Resources



Resourcing Strategy



Strong Domain Knowledge & Expertise



Inclusive Organization Culture



Readiness & Proven Track Record



Dedicated Zensar Team

To know more about SOX as a service offering, please write to us at:  
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## Zensar Services



Foundation Services



Experience Services



Advanced Engineering Services



Data Engineering and Analytics Services



Application Services